Facsimile: (703) 836-2787

Attorney Docket No.: 106096

Date: April 25, 2000

BOX PATENT APPLICATION

NONPROVISIONAL APPLICATION TRANSMITTAL RULE §1.53(b)

Director of the U.S. Patent and Trademark Office Washington, D.C. 20231

Zir:

The time the

Ō1

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional patent application

For (Title):

A THIN FILM-STRUCTURE AND A METHOD FOR PRODUCING THE SAME

By (Inventors):

Akira SHIMOKOHBE and Seiichi HATA

- Formal drawings (Figs. 1-38; 16 sheets) are attached.
- A Declaration and Power of Attorney is filed herewith.
- An assignment of the invention to <u>TOKYO INSTITUTE OF TECHNOLOGY</u> is filed herewith.
- An Information Disclosure Statement is filed herewith.
- A statement to establish small entity status under 37 C.F.R. §§1.9 and 1.27 is filed herewith.
- A Preliminary Amendment is filed herewith.
- Please amend the specification by inserting before the first line the sentence --This nonprovisional application claims the benefit of U.S. Provisional Application No. ______, filed _____.--
- Priority of foreign application No. 11-126680 filed May 7, 1999 in Japan is claimed (35 U.S.C. §119).
- A certified copy of the above corresponding foreign application is filed herewith.
- The filing fee is calculated below:

CLAIMS IN THE APPLICATION AFTER ENTRY OF ANY PRELIMINARY AMENDMENT NOTED ABOVE

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	22 - 20	= 2
INDEP CLAIMS	2 - 3	= 0
☐ MULTIPLE DEF	ENDENT CLAI	MS PRESENTED

^{*} If the difference is less than zero, enter "0".

SMALL ENTITY

RATE	FEE	
	\$ 345	
x 9 =	\$ 18	
x 39 =	\$ 0	
+130 =	\$ 0	
TOTAL	\$ 363	

OTHER THAN A SMALL ENTITY

OR
OR
OR
OR
OR

OR

RATE	FEE	
	\$ 690	
x 18	\$	
x 78	\$	
+260	\$	
TOTAL	\$	

Check No. 108036 in the amount of \$363.00 to cover the filing fee is attached. Except as otherwise noted herein, the Director is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.

This application is entitled to small entity status. DO NOT charge large entity fees to our Deposit Account.

Respectfully submitted,

James A. Oliff

Registration No. 27,075

Thomas J. Pardini Registration No. 30,411

JAO:TJP/kmc



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OLIFF & BERRIDGE, PLC

P.O. Box 19928

Alexandria, Virginia 22320 Telephone: (703) 836-6400

Facsimile: (703) 836-2787

Attorney Docket No.: 106096

Date: April 25, 2000

BOX PATENT APPLICATION

NONPROVISIONAL APPLICATION TRANSMITTAL RULE §1.53(b)

Director of the U.S. Patent and Trademark Office Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional patent application

For (Title):

A THIN FILM-STRUCTURE AND A METHOD FOR PRODUCING THE SAME

By (Inventors):

Akira SHIMOKOHBE and Seiichi HATA

- Formal drawings (Figs. 1-38; 16 sheets) are attached.
- A Declaration and Power of Attorney is filed herewith.
- An assignment of the invention to <u>TOKYO INSTITUTE OF TECHNOLOGY</u> is filed herewith.
- An Information Disclosure Statement is filed herewith.
- A statement to establish small entity status under 37 C.F.R. §§1.9 and 1.27 is filed herewith.
- A Preliminary Amendment is filed herewith.
- Please amend the specification by inserting before the first line the sentence --This nonprovisional application claims the benefit of U.S. Provisional Application No. ______, filed _____.--
- Priority of foreign application No. 11-126680 filed May 7, 1999 in Japan is claimed (35 U.S.C. §119).
- A certified copy of the above corresponding foreign application is filed herewith.
- The filing fee is calculated below:

CLAIMS IN THE APPLICATION AFTER ENTRY OF ANY PRELIMINARY AMENDMENT NOTED ABOVE

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	22 - 20	= 2
INDEP CLAIMS	2 - 3	= 0
☐ MULTIPLE DEF	ENDENT CLAI	MS PRESENTED

If the difference is less than zero, enter "0".

SMALL ENTITY

RATE	FEE	<u>OR</u>
4 18	\$ 345	<u>OR</u>
x 9 =	\$ 18	OR
x 39 =	\$ O	<u>OR</u>
+130 =	\$ 0	<u>OR</u>
TOTAL	\$ 363	<u>OR</u>

OTHER THAN A SMALL ENTITY

RATE	FEE	
Section 1	\$ 690	
x 18	\$	
x 78	\$	
+260	\$	
TOTAL	\$	

- Check No. 108036 in the amount of \$363.00 to cover the filing fee is attached. Except as otherwise noted herein, the Director is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.
- This application is entitled to small entity status. DO NOT charge large entity fees to our Deposit Account.

Respectfully submitted,

James A. Oliff

Registration No. 27,075

Thomas J. Pardini Registration No. 30,411